

### PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q96217

Mitsuru YAMAMOTO, et al.

Appln. No.: 10/590,081

Group Art Unit: 2856

Confirmation No.: 4161

Examiner: Unknown

Filed: August 21, 2006

For:

DRIVE CIRCUIT FOR PIEZOELECTRIC PUMP AND COOLING SYSTEM THAT

USES THIS DRIVE CIRCUIT

## SUBMISSION OF INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

For the Examiner's convenience, enclosed herewith is a copy of the English translation of the International Preliminary Report on Patentability (IPRP). It is assumed that copies of the cited references as required by §371(c) will be supplied directly by the International Bureau, but if further copies are needed, the undersigned will undertake to provide them upon request.

Respectfully submitted,

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### From the INTERNATIONAL BUREAU

## **PCT**

NOTIFICATION OF TRANSMITTAL
OF COPIES OF TRANSLATION
OF THE INTERNATIONAL PRELIMINARY REPORT
ON PATENTABILITY
(CHAPTER I OR CHAPTER II

OF THE PATENT COOPERATION TREAT
(PCT Rules 44bis.3(c) and 72.2)

To:

MIYAZAKI, Teruo & 8th Floor, 16th Kowa Bldg., 9-20, Akasaka 1-chome Minato-ku, Tokyo 1070052 APON

DEC 2 0, 20**06** 

Date of mailing (day/month/year)

07 December 2006 (07.12.2006)

Applicant's or agent's file reference

IMPORTANT NOTIFICATION

International application No. PCT/JP2005/001389

NEC04P229

International filing date (day/month/year)
01 February 2005 (01.02.2005)

Applicant

**NEC CORPORATION et al** 

Transmittal	- 4 4 1 -	41-4	4 -	AL .	4	
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The International Bureau transmits herewith a copy of the English translation of the international preliminary report on patentability (Chapter I).

The International Bureau transmits herewith a copy of the English translation of the international preliminary report on patentability (Chapter II).

2. Transmittal of the copy of the translation to the designated or elected Offices.

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following designated or elected Offices requiring such translation:

EP, KR

The following designated or elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

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3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability (Chapter II).

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned within the applicable time limit (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized officer

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# TRANSLATION PATENT COOPERATION TREATY POT INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Appliagnets on appendix 6	:1 <b>6</b>					
Applicant's or agent's file reference NEC04P229		FOR FURTHER A	ACTION	See Form PCT/IPEA/416		
International application No.		International filing da	ate (day/month/year)	Priority date (day/month/year)		
PCT/JP2005/001389 01.02.20		01.02.200	5	23.02.2004		
International Patent Cl	assification (IPC) or nati	onal classification and	IPC			
F04B9/00(2	2006.01), но	)1L23/473(2	2006.01)			
Applicant						
Applicant NEC CORPORATION						
This report i     under Article	This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.					
	T consists of a total of _	4 7	sheets, including	this cover sheet.		
3. This report is	also accompanied by Al	NNEXES, comprising:				
a. 🛛 (s	sent to the applicant and	to the International Bu	reau) a total of	sheets, as follows:		
$\boxtimes$	sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).					
sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental						
b. [] (s	Box.  b. (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s))					
rela Sec	related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).					
4. This report co	ontains indications relatir	ig to the following item	ns:			
Box	No. I Basis of the	report				
Box	No. II Priority					
Box 1	No. III Non-establis	hment of opinion with	regard to novelty, inventive	ve step and industrial applicability		
Box	No. IV Lack of unit	y of invention				
Box 1						
Box No. VI Certain documents cited						
Box No. VII Certain defects in the international application						
Box 1	Box No. VIII Certain observations on the international application					
Date of submission of the demand Date of complet			Date of completion of this	report		
Name and mailing address of the IPEA/JP			Authorized officer			
Facsimile No.			Telephone No			

International application No.
PCT/JP2005/001389

Box	k No. I	Basis of the report				
1.		n regard to the language, this report is based on the internation cated under this item.	nal application in the language in which it was filed, unless otherwise			
	This report is based on translations from the original language into the following which is the language of a translation furnished for the purposes of:					
		international search (Rule 12.3 and 23.1(b))				
		publication of the international application (Rule 12.4)				
		international preliminary examination (Rule 55.2 and/	•			
2.	rece	n regard to the elements of the international application, this iving Office in response to an invitation under Article 14 are report):  the international application as originally filed/furnished	report is based on (replacement sheets which have been furnished to the e referred to in this report as "originally filed" and are not annexed to			
	$\boxtimes$	the description:				
		pages 1-11	as originally filed/furnished			
		pages*	•			
		pages*	• • •			
	$\boxtimes$	the claims:				
		nos.	as originally filed/furnished			
		nos.*	as amended (together with any statement) under Article 19			
			received by this Authority on 13.07.2005			
		nos.*	·			
	$\boxtimes$	the drawings:				
		sheets 1-9	as originally filed/furnished			
		sheets*				
		sheets*				
		a sequence listing and/or any related table(s) - see Suppleme				
3		The amendments have resulted in the cancellation of:	and bux relating to sequence Listing.			
<b>J</b> .	سا		•			
		the description, pages the claims, nos.				
		the drawings, sheets/figs				
4.			ments approved to this report and listed below had not been made since			
••	This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, sin they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).					
		the description, pages				
		the claims, nos.				
		the drawings, sheets/figs				
		the sequence listing (specify):				
		any table(s) related to sequence listing (specify):				
*	If ite	m 4 applies, some or all of those sheets may be marked "supe	rseded."			

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International application No.
PCT/JP2005/001389

Во	x No. V Reasoned statemen citations and expla	nt under Article 35(2) with regard to novelty, inventive step or industrial applicability; anations supporting such statement	
1.	Statement		
	Novelty (N)	Claims 1-14 Claims	
	Inventive step (IS)	Claims 2, 9 Claims 1, 3-8, 10-14	YES
	Industrial applicability (IA)	Claims 1-14 Claims	YES
2.	Citations and explanations (Rule	70.7)	
	Document 1: Mic	rofilm of the specification and drawings	
	anı	nexed to the Japanese Utility Model	
	Арр	plication No. 189816/1987 (Laid-open No.	
	933	379/1989)(Misuzu Eric Co., Ltd.), 20 June	
	198		
	Document 2: JP	4-183978 A (Seiko Epson Corporation), 30	
	Jur	ne 1992	
	Document 3: `JP	2003-120541 A (Matsushita Electric	
	Inc	dustrial Co., Ltd.), 23 April 2003	
	Document 4: JP	2001-355574 A (Matsushita Electric	
		dustrial Co., Ltd.), 26 December 2001	
		9-324764 A (Matsushita Refrigeration Co.), December 1997	
		2003-29879 A (Hitachi, Ltd.), 31 January	
		2002-163042 A (Toshiba Corporation), 7 ne 2002	
	Document 8: JP	2003-121254 A (Yasuhiko Tawara), 23 April	
		ion set forth in claim 1 does not involve	
		ep in the light of documents 1 and 2 cited	
	in the internat	ional search report and newly cited	

document 8.

In the light of document 1 (description, page 6, lines 6 to 10), the piezoelectric pump driving circuit set forth in document 1 is understood to have a sine wave transmitting means (8) and amplifying means (10, 11).

Although document 1 does not indicate that these amplifying means (10, 11) are driven by a high voltage generated by the voltage boosting means, in driving an amplifying means it is a known technique to drive a circuit with a high voltage generated by a voltage-boosting means which converts a low-voltage power supply into a high voltage, as set forth in document 2 (page 2, lower left column, line 7 to upper right column, line 1).

In addition, in amplifying a signal which drives a piezoelectric element, it is a known technique to use a Class D amplifier and low pass filter, as set forth in document 8 (paragraphs [0024] to [0026]) for example, therefore it would be easy for a person skilled in the art to constitute a signal amplifying means to use a Class D amplifier and a low pass filter.

The inventions set forth in claims 2 and 9 are not disclosed in any of the documents cited in the international search report, and are therefore novel and involve an inventive step. In particular, none of the documents discloses or suggests a controlling means which controls fluctuations in frequency when starting up a sine wave oscillating means using three or more different frequencies.

The invention set forth in claim 3 does not involve an inventive step in the light of documents 1 to 3 cited

in the international search report and newly cited document 8.

In the light of document 1 (description, page 6, lines 6 to 10), the piezoelectric pump driving circuit is understood to have a sine wave generating means (8) and amplifying means (10, 11).

Although document 1 does not indicate that these amplifying means (10, 11) are driven by a high voltage generated by the voltage boosting means, in driving an amplifying means it is a known technique to drive a circuit with a high voltage generated by a voltage-boosting means which converts a low-voltage power supply into a high voltage, as set forth in document 2 (page 2, lower left column, line 7 to upper right column, line 1).

In addition, in amplifying a signal which drives a piezoelectric element, it is a known technique to use a Class D amplifier and low pass filter, as set forth in document 8 (paragraphs [0024] to [0026]) for example, therefore it would be easy for a person skilled in the art to constitute a signal amplifying means to use a Class D amplifier and a low pass filter.

In addition, discharging foam by controlling fluctuations in frequency is a known technique, as set forth in document 3 (paragraph [0031], for example), and the problem that foam exists at startup is a widely known technical matter in the technical field relating to liquid pumps, therefore it would be easy for a person skilled in the art to constitute an invention so as to vary the frequency when starting up a pump.

The invention set forth in claim 4 does not involve an inventive step in the light of documents 1, 2 and 4

Box No. V

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

cited in the international search report.

In the light of document 1 (description, page 6, lines 6 to 10), the piezoelectric pump driving circuit is understood to have a sine wave generating means (8) and amplifying means (10, 11).

Although document 1 does not indicate that these amplifying means (10, 11) are driven by a high voltage generated by the voltage boosting means, in driving an amplifying means it is a known technique to drive a circuit with a high voltage generated by a voltage-boosting means which converts a low-voltage power supply into a high voltage, as set forth in document 2 (page 2, lower left column, line 7 to upper right column, line 1).

In addition, document 4 (paragraph [0031]) indicates that the driving voltage which drives a piezoelectric transducer is varied according to the temperature detected by a temperature sensor (26), therefore it would be easy for a person skilled in the art to provide a controlling means which adjusts the signal amplitude of a sine wave transmitting means according to the temperature detected by a temperature detecting means.

The invention set forth in claim 5 does not involve an inventive step in the light of documents 1, 2 and 4 cited in the international search report and newly cited document 8.

In the light of document 1 (description, page 6, lines 6 to 10), the piezoelectric pump driving circuit set forth in document 1 is understood to have a sine wave transmitting means (8) and amplifying means (10, 11).

Although document 1 does not indicate that these amplifying means (10, 11) are driven by a high voltage

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

generated by the voltage boosting means, in driving an amplifying means it is a known technique to drive a circuit with a high voltage generated by a voltage-boosting means which converts a low-voltage power supply into a high voltage, as set forth in document 2 (page 2, lower left column, line 7 to upper right column, line 1).

In addition, in amplifying a signal which drives a piezoelectric element, it is a known technique to use a Class D amplifier and low pass filter, as set forth in document 8 (paragraphs [0024] to [0026]) for example, therefore it would be easy for a person skilled in the art to constitute a signal amplifying means to use a Class D amplifier and a low pass filter.

In addition, document 4 (paragraph [0031]) indicates that the driving voltage which drives a piezoelectric transducer is varied according to the temperature detected by a temperature sensor (26), therefore it would be easy for a person skilled in the art to provide a controlling means which adjusts the signal amplitude of a sine wave transmitting means according to the temperature detected by a temperature detecting means.

The invention set forth in claim 6 does not involve an inventive step in the light of documents 1 to 4 cited in the international search report.

In the light of document 1 (description, page 6, lines 6 to 10), the piezoelectric pump driving circuit set forth in document 1 is understood to have a sine wave transmitting means (8) and amplifying means (10, 11).

Although document 1 does not indicate that these amplifying means (10, 11) are driven by a high voltage generated by the voltage boosting means, in driving an

amplifying means it is a known technique to drive a circuit with a high voltage generated by a voltage-boosting means which converts a low-voltage power supply into a high voltage, as set forth in document 2 (page 2, lower left column, line 7 to upper right column, line 1).

In addition, discharging foam by controlling fluctuations in frequency is a known technique, as set forth in document 3 (paragraph [0031], for example), and the problem that foam exists at startup is a widely known technical matter in the technical field relating to liquid pumps, therefore it would be easy for a person skilled in the art to constitute an invention so as to vary the frequency when starting up a pump.

In addition, document 4. (paragraph [0031]) indicates that the driving voltage which drives a piezoelectric transducer is varied according to the temperature detected by a temperature sensor (26), therefore it would be easy for a person skilled in the art to provide a controlling means which adjusts the signal amplitude of a sine wave transmitting means according to the temperature detected by a temperature detecting means.

The invention set forth in claim 7 does not involve an inventive step in the light of documents 1 to 4 cited in the international search report and newly cited document 8.

In the light of document 1 (description, page 6, lines 6 to 10), the piezoelectric pump driving circuit set forth in document 1 is understood to have a sine wave transmitting means (8) and amplifying means (10, 11).

Although document 1 does not indicate that these amplifying means (10, 11) are driven by a high voltage

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

generated by the voltage boosting means, in driving an amplifying means it is a known technique to drive a circuit with a high voltage generated by a voltage-boosting means which converts a low-voltage power supply into a high voltage, as set forth in document 2 (page 2, lower left column, line 7 to upper right column, line 1).

In addition, in amplifying a signal which drives a piezoelectric element, it is a known technique to use a Class D amplifier and low pass filter, as set forth in document 8 (paragraphs [0024] to [0026]) for example, therefore it would be easy for a person skilled in the art to constitute a signal amplifying means to use a Class D amplifier and a low pass filter.

In addition, discharging foam by controlling fluctuations in frequency is a known technique, as set forth in document 3 (paragraph [0031], for example), and the problem that foam exists at startup is a widely known technical matter in the technical field relating to liquid pumps, therefore it would be easy for a person skilled in the art to constitute an invention so as to vary the frequency when starting up a pump.

In addition, document 4 (paragraph [0031]) indicates that the driving voltage which drives a piezoelectric transducer is varied according to the temperature detected by a temperature sensor (26), therefore it would be easy for a person skilled in the art to provide a controlling means which adjusts the signal amplitude of a sine wave transmitting means according to the temperature detected by a temperature detecting means.

The invention set forth in claim 8 does not involve an inventive step in the light of documents 1, 2 and 4

cited in the international search report, and newly cited document 8.

In the light of document 1 (description, page 6, lines 6 to 10), the piezoelectric pump driving circuit set forth in document 1 is understood to have a sine wave transmitting means (8) and amplifying means (10, 11).

Although document 1 does not indicate that these amplifying means (10, 11) are driven by a high voltage generated by the voltage boosting means, in driving an amplifying means it is a known technique to drive a circuit with a high voltage generated by a voltage-boosting means which converts a low-voltage power supply into a high voltage, as set forth in document 2 (page 2, lower left column, line 7 to upper right column, line 1).

In addition, in amplifying a signal which drives a piezoelectric element, it is a known technique to use a Class D amplifier and low pass filter, as set forth in document 8 (paragraphs [0024] to [0026]) for example, therefore it would be easy for a person skilled in the art to constitute a signal amplifying means to use a Class D amplifier and a low pass filter.

Moreover, a cooling system having a heat sink, a radiator and a piezoelectric pump is known, as set forth in document 4.

The invention set forth in claim 10 does not involve an inventive step in the light of documents 1 to 4 cited in the international search report and newly cited document 8.

In the light of document 1 (description, page 6, lines 6 to 10), the piezoelectric pump driving circuit set forth in document 1 is understood to have a sine wave

transmitting means (8) and amplifying means (10, 11).

Although document 1 does not indicate that these amplifying means (10, 11) are driven by a high voltage generated by the voltage boosting means, in driving an amplifying means it is a known technique to drive a circuit with a high voltage generated by a voltage-boosting means which converts a low-voltage power supply into a high voltage, as set forth in document 2 (page 2, lower left column, line 7 to upper right column, line 1).

In addition, in amplifying a signal which drives a piezoelectric element, it is a known technique to use a Class D amplifier and low pass filter, as set forth in document 8 (paragraphs [0024] to [0026]) for example, therefore it would be easy for a person skilled in the art to constitute a signal amplifying means to use a Class D amplifier and a low pass filter.

In addition, discharging foam by controlling fluctuations in frequency is a known technique, as set forth in document 3 (paragraph [0031], for example), and the problem that foam exists at startup is a widely known technical matter in the technical field relating to liquid pumps, therefore it would be easy for a person skilled in the art to constitute an invention so as to vary the frequency when starting up a pump.

Moreover, a cooling system having a heat sink, a radiator and a piezoelectric pump is known, as set forth in document 4.

The invention set forth in claim 11 does not involve an inventive step in the light of documents 1, 2 and 4 cited in the international search report.

In the light of document 1 (description, page 6,

lines 6 to 10), the piezoelectric pump driving circuit set forth in document 1 is understood to have a sine wave transmitting means (8) and amplifying means (10, 11).

Although document 1 does not indicate that these amplifying means (10, 11) are driven by a high voltage generated by the voltage boosting means, in driving an amplifying means it is a known technique to drive a circuit with a high voltage generated by a voltage-boosting means which converts a low-voltage power supply into a high voltage, as set forth in document 2 (page 2, lower left column, line 7 to upper right column, line 1).

In addition, document 4 (paragraph [0031]) indicates that the driving voltage which drives a piezoelectric transducer is varied according to the temperature detected by a temperature sensor (26), therefore it would be easy for a person skilled in the art to provide a controlling means which adjusts the signal amplitude of a sine wave transmitting means according to the temperature detected by a temperature detecting means.

Moreover, a cooling system having a heat sink, a radiator and a piezoelectric pump is known, as set forth in document 4.

The invention set forth in claim 12 does not involve an inventive step in the light of documents 1, 2 and 4 cited in the international search report and newly cited document 8.

In the light of document 1 (description, page 6, lines 6 to 10), the piezoelectric pump driving circuit set forth in document 1 is understood to have a sine wave transmitting means (8) and amplifying means (10, 11).

Although document 1 does not indicate that these

amplifying means (10, 11) are driven by a high voltage generated by the voltage boosting means, in driving an amplifying means it is a known technique to drive a circuit with a high voltage generated by a voltage-boosting means which converts a low-voltage power supply into a high voltage, as set forth in document 2 (page 2, lower left column, line 7 to upper right column, line 1).

In addition, in amplifying a signal which drives a piezoelectric element, it is a known technique to use a Class D amplifier and low pass filter, as set forth in document 8 (paragraphs [0024] to [0026]) for example, therefore it would be easy for a person skilled in the art to constitute a signal amplifying means to use a Class D amplifier and a low pass filter.

In addition, document 4 (paragraph [0031]) indicates that the driving voltage which drives a piezoelectric transducer is varied according to the temperature detected by a temperature sensor (26), therefore it would be easy for a person skilled in the art to provide a controlling means which adjusts the signal amplitude of a sine wave transmitting means according to the temperature detected by a temperature detecting means.

Moreover, a cooling system having a heat sink, a radiator and a piezoelectric pump is known, as set forth in document 4.

The invention set forth in claim 13 does not involve an inventive step in the light of documents 1 to 4 cited in the international search report.

In the light of document 1 (description, page 6, lines 6 to 10), the piezoelectric pump driving circuit set forth in document 1 is understood to have a sine wave

transmitting means (8) and amplifying means (10, 11).

Although document 1 does not indicate that these amplifying means (10, 11) are driven by a high voltage generated by the voltage boosting means, in driving an amplifying means it is a known technique to drive a circuit with a high voltage generated by a voltage-boosting means which converts a low-voltage power supply into a high voltage, as set forth in document 2 (page 2, lower left column, line 7 to upper right column, line 1).

In addition, discharging foam by controlling fluctuations in frequency is a known technique, as set forth in document 3 (paragraph [0031], for example), and the problem that foam exists at startup is a widely known technical matter in the technical field relating to liquid pumps, therefore it would be easy for a person skilled in the art to constitute an invention so as to vary the frequency when starting up a pump.

In addition, document 4 (paragraph [0031]) indicates that the driving voltage which drives a piezoelectric transducer is varied according to the temperature detected by a temperature sensor (26), therefore it would be easy for a person skilled in the art to provide a controlling means which adjusts the signal amplitude of a sine wave transmitting means according to the temperature detected by a temperature detecting means.

Moreover, a cooling system having a heat sink, a radiator and a piezoelectric pump is known, as set forth in document 4.

The invention set forth in claim 14 does not involve an inventive step in the light of documents 1 to 4 cited in the international search report and newly cited

document 8.

In the light of document 1 (description, page 6, lines 6 to 10), the piezoelectric pump driving circuit set forth in document 1 is understood to have a sine wave transmitting means (8) and amplifying means (10, 11).

Although document 1 does not indicate that these amplifying means (10, 11) are driven by a high voltage generated by the voltage boosting means, in driving an amplifying means it is a known technique to drive a circuit with a high voltage generated by a voltage-boosting means which converts a low-voltage power supply into a high voltage, as set forth in document 2 (page 2, lower left column, line 7 to upper right column, line 1).

In addition, in amplifying a signal which drives a piezoelectric element, it is a known technique to use a Class D amplifier and low pass filter, as set forth in document 8 (paragraphs [0024] to [0026]) for example, therefore it would be easy for a person skilled in the art to constitute a signal amplifying means to use a Class D amplifier and a low pass filter.

In addition, discharging foam by controlling fluctuations in frequency is a known technique, as set forth in document 3 (paragraph [0031], for example), and the problem that foam exists at startup is a widely known technical matter in the technical field relating to liquid pumps, therefore it would be easy for a person skilled in the art to constitute an invention so as to vary the frequency when starting up a pump.

In addition, document 4 (paragraph [0031]) indicates that the driving voltage which drives a piezoelectric transducer is varied according to the temperature detected by a temperature sensor (26), therefore it would

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be easy for a person skilled in the art to provide a controlling means which adjusts the signal amplitude of a sine wave transmitting means according to the temperature detected by a temperature detecting means.

Moreover, a cooling system having a heat sink, a radiator and a piezoelectric pump is known, as set forth in document 4.

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Box No. VIII Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

- (1) The "fig. 6" set forth in paragraph [0042] is understood to be a typographical error for "fig. 5".
- (2) The "fig. 1" set forth in paragraphs [0049] and [0050] is understood to be a typographical error for "fig. 7".